



ARIZONA DEPARTMENT OF HOMELAND SECURITY

FFY 2018 Homeland Security
Grant **I**nformation **S**eminar
January 2018

What We're Going to Cover

- What is the Homeland Security Grant Program?
- Application Requirements
- Funding Priorities
- Training/Exercise Update
- Application Review
- Helpful Hints



Homeland Security Grant Program

- Issued by: the U.S. Department of Homeland Security (DHS), Federal Emergency Management Agency (FEMA), Grant Programs Directorate (GPD)
- Yearly appropriation from Congress
 - Mandates in appropriation
 - 60 days to issue Notice of Funding Opportunity
 - FFY 2017 was released on 6/2/2017
 - Up to 80 days to submit Investment Justification
 - FFY 2017 was due on 6/22/2017
 - Only 20 calendar days
 - FFY 2018 may include a 25% match (in-kind or hard)

Applicant Eligibility

Eligible

- State government agencies
- Local units of government
 - Municipal law enforcement and fire departments
 - Fire districts
 - County agencies
- Tribes

Not Eligible

- Nonprofit entities
- For-profit businesses



The SHSGP assists state, Tribal and local preparedness activities that address high-priority preparedness gaps across all core capabilities where a NEXUS to terrorism exists.



Definition of Terrorism

PUBLIC LAW 107–296—NOV. 25, 2002

The term “terrorism” means any activity that—

(A) involves an act that—

- (i) is dangerous to human life or potentially destructive of critical infrastructure or key resources; and
- (ii) is a violation of the criminal laws of the United States or of any State or other subdivision of the United States; and

(B) appears to be intended—

- (i) to intimidate or coerce a civilian population;
- (ii) to influence the policy of a government by intimidation or coercion; or
- (iii) to affect the conduct of a government by mass destruction, assassination, or kidnapping.

Applications must demonstrate how they will:

- Support terrorism preparedness
- Address capability gaps identified as State priorities in the most recent State Preparedness Report (SPR)
 - Meet Capability Targets
 - Close functional area gaps

Applications must explain how they will:

- Prevent/Protect/Mitigate/Respond/Recover from a threatened or an actual act of terrorism;
- Protect citizens, residents, visitors, and assets against the greatest threats and hazards, relating to acts of terrorism; and/or
- Respond quickly to save lives, protect property and the environment, and meet basic human needs in the aftermath of an act of terrorism or other catastrophic incident;
- **Prepare for all hazards and threats, while explaining the nexus to terrorism preparedness.**

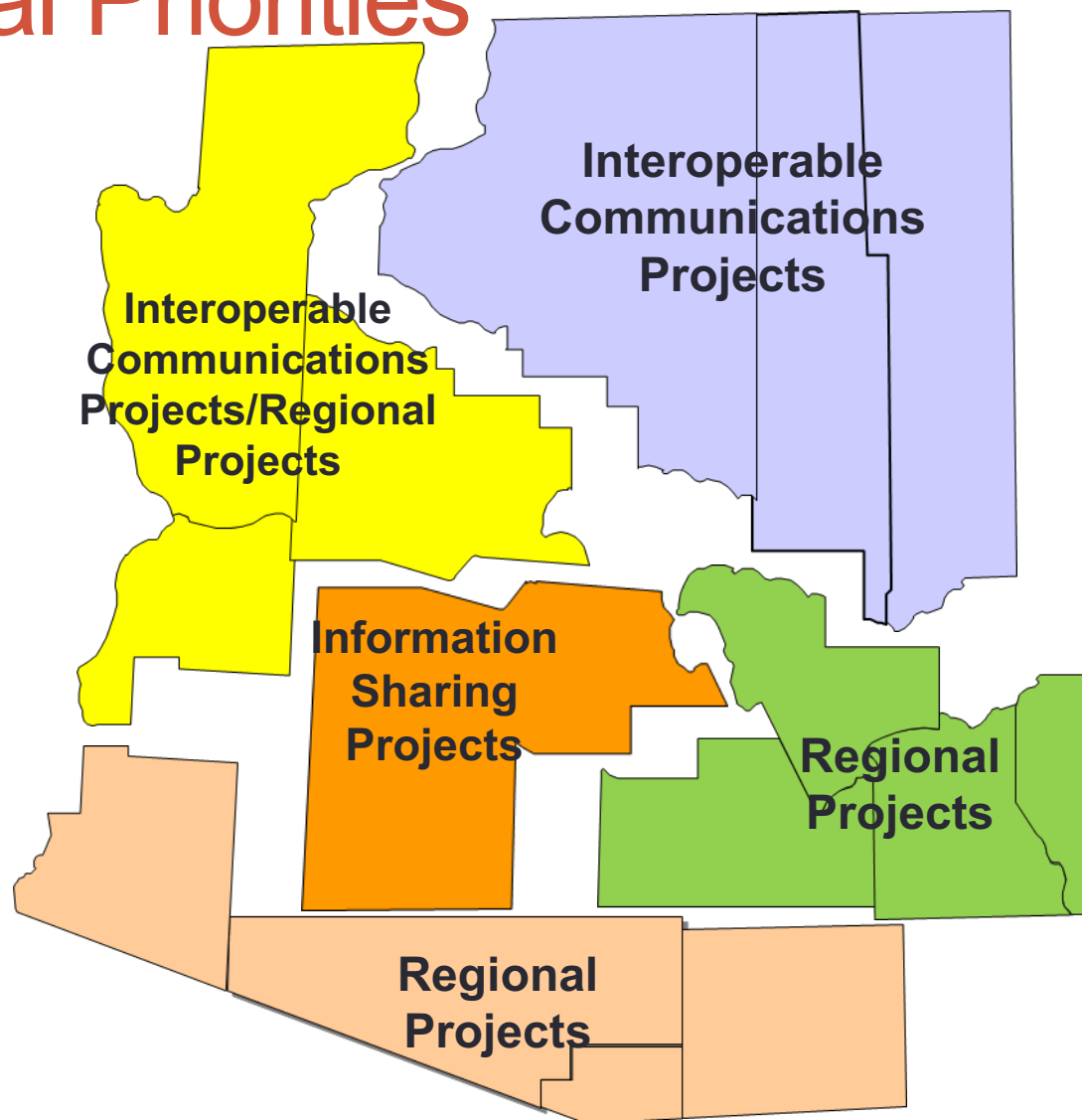
Federal Priorities

- Federal priorities are based on the State Preparedness Report (SPR) and current threats
 - Cyber Security
 - Continuously low scoring in SPR across country
 - Difficult to fund because of complexity of grants/allowability
 - **Target Hardening**
 - Soft Targets/Public Gatherings
 - Critical Infrastructure/Key Resources
 - Threat and Vulnerability Assessments

Regional Priorities

- Regional Funding Guidelines
 - Provide applicants an idea of what may be recommended for funding
 - Assist Regional Advisory Councils (RACs) in recommendation process
 - Reviewed/revised annually
 - Available at: <https://azdohs.gov/regional-advisory-council>

Regional Priorities



Funding Allocation

- 80% Pass Through
 - State retains 20%
- Risk Formula
 - 30% Baseline
 - 70% Risk
 - Population
 - Critical Infrastructure
 - Border Nexus



FFY 2017 SHSGP Regional Allocations

Period of Performance: 10/01/2017 – 09/30/2018

North Region

- ✓ \$366,626
- ✓ 14 out of 20 Projects Funded
- ✓ 4 Reallocation Projects

East Region

- ✓ \$409,575
- ✓ 10 out of 11 Projects Funded
- ✓ 3 Reallocation Projects

West Region

- ✓ \$483,276
- ✓ 21 out of 27 Projects Funded
- ✓ 4 Reallocation Projects

Central Region

- ✓ \$1,445,655
- ✓ 23 out of 29 Projects Funded
- ✓ 2 Reallocation Projects

South Region

- ✓ \$949,268
- ✓ 14 out of 25 Projects Funded
- ✓ 7 Reallocation Projects

State

- ✓ \$204,860
- ✓ 2 out of 7 Projects Funded
- ✓ 0 Reallocation Projects

Funding and Deobligations

Top 3 Reasons for Deobligations

- Price fluctuation during time period between application and award/execution of award
 - Did not obtain price quote during application phase
 - Needs changed
-
- Deobligated funding reallocated to current projects that were partially funded or unfunded (exception: regional training and exercise projects)

2018 Applications

Deadline for
submitting 2018
SHSGP/UASI
grant applications



2018 FEBRUARY						
SUN	MON	TUE	WED	THU	FRI	SAT
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28			



FFY 2018 Homeland Security Grant Timeline
State Homeland Security Grant Program (SHSGP) and STATE AGENCIES

***Tentative**



Where to Apply

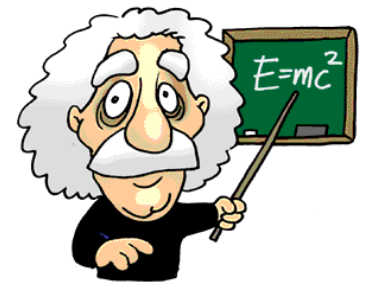
- Online Application
 - www.azdohs.gov
 - SHSGP page
 - UASI page
- Must have account to apply
 - Most stakeholders already have an account
 - No need to re-register!
- New Applicants
 - Register at: <https://azdohs.gov/applicant/register>
 - Account must be verified and activated by Strategic Planner before access is granted

Key Changes

- ONE SUBMISSION PER ORGANIZATION FOR:
 - Financial Forms
 - Standard Data Collection
 - FFATA
 - Financial Systems Survey
 - NIMS Certification

Key Changes - Flow of Information

- Streamlined questions
 - Explain nexus to terrorism
- Alignment to THIRA/SPR
 - Mission Area
 - Core Capabilities
 - Capability Targets
 - POETE
 - Gaps



Successful Application

- Submit on time (February 16, 2018 by 5:00 pm)
- Conduct internal peer review
 - Complete all required questions
 - Does your response directly answer the question?
 - Identify areas where additional information is needed...and then provide it

Successful Application

- Do not make assumptions
 - AZDOHS review (equipment allowability/programmatic/financial)
 - IWG review (communications projects)
 - DEMA review (training and exercise)
 - RAC/UASI review
 - Director review
 - FEMA/DHS review

POP QUIZ

- When are applications due?
 - Friday, February 16, 2018 by 5:00 pm
- What is one reason stakeholders deobligate funds?
 - Using outdated quotes when submitting applications
- What must each project address?
 - A terrorism nexus

THREAT HAZARD
IDENTIFICATION RISK
ASSESSMENT (THIRA)/STATE
PREPAREDNESS REPORT
(SPR) Update

THIRA/SPR



THIRA/SPR

- Multi-step process to arrive at the SPR
- 5 Mission Areas
 - Prevent, Protect, Mitigate, Respond and Recover
- 32 Core Capabilities
- Requirement for states/UASIs to receive federal grant funds
- Due by 12/31 each year
- Access via the AZDOHS website
 - Register for an account here: <https://azdohs.gov/applicant/register>

32 Core Capabilities

PREVENT

Planning

Public Information and Warning

Operational Coordination

Forensics and Attribution

Intelligence and Information Sharing

Interdiction and Disruption

Screening, Search and Detection

PROTECT

Planning

Public Information and Warning

Operational Coordination

Access Control and Identity Verification

Cybersecurity

Intelligence and Information Sharing

Interdiction and Disruption

Physical Protective Measures

Risk Management for Protection Programs and Activities

Screening, Search and Detection

Supply Chain Integrity and Security

MITIGATE

Planning

Public Information and Warning

Operational Coordination

Community Resilience

Long-Term Vulnerability Reduction

Risk and Disaster Resilience Assessment

Threats and Hazard Identification

RESPOND

Planning

Public Information and Warning

Operational Coordination

Critical Transportation

Environmental Response/Health and Safety

Fatality Management Services

Fire Management and Suppression

Logistics and Supply Chain Management

Infrastructure Systems

Mass Care Services

Mass Search and Rescue Operations

On-Scene Security, Protection, and Law Enforcement

Operational Communications

Public Health, Healthcare, and Emergency Medical Services

Situational Assessment

RECOVER

Planning

Public Information and Warning

Operational Coordination

Economic Recovery

Health and Social Services

Infrastructure Systems

Housing

Natural and Cultural Resources

THIRA/SPR Examples

Operational Communications

Definition: Ensure the capacity for timely communications in support of security, situational awareness, and operations by any and all means available, among and between affected communities in the impact area and all response forces.

Capability Target(s)	Within 1 hour, establish interoperable communications between responders to ensure clear communication.	
POETE	SPR Capability Ratings	Functional Area Gaps
<i>Planning</i>	4	<ul style="list-style-type: none"> • Communication between responders and the affected population • Data communications • Interoperable communications between responders • Re-establishing communications infrastructure • Re-establishing critical information networks • Voice communications • Other Functional Area(s) • International 9-1-1 issue along US/MX border • DPS digital microwave network

THIRA/SPR Examples

Operational Communications <i>Definition: Ensure the capacity for timely communications in support of security, situational awareness, and operations by any and all means available, among and between affected communities in the impact area and all response forces.</i>		
Capability Target(s)	Within 1 hour, establish interoperable communications between responders to ensure clear communication.	
POETE	SPR Capability Ratings	Functional Area Gaps
Equipment	4	<ul style="list-style-type: none"> • Communication between responders and the affected population • Data communications • Interoperable communications between responders • Re-establishing communications infrastructure • Re-establishing critical information networks • Voice communications • Other Functional Area(s) • International 9-1-1 issue along US/MX border • DPS digital microwave network
Training	4	<ul style="list-style-type: none"> • Communication between responders and the affected population • Data communications • Interoperable communications between responders • Re-establishing communications infrastructure • Re-establishing critical information networks • Voice communications • Other Functional Area(s) • International 9-1-1 issue along US/MX border • DPS digital microwave network

THIRA/SPR

Notice of Funding Opportunity (NOFO)

Required to align projects with Core Capabilities as outlined in the THIRA and SPR

US DHS will review for **feasibility** and **effectiveness**

Identify at least one core capability gap and explain **HOW** the project closes the gap

Training and Exercise Overview - DEMA

Kathy Hassett,
Training Branch Manager



Nichole Fortson,
Exercise Branch Manager



2018 APPLICATION REVIEW

Application Considerations

- Applications must be submitted for terrorism/homeland security-related needs
- Applications must align to a Mission Area, a Core Capability, a Capability Target and at least one gap
- Milestones must be clear and communicate how you will successfully manage the project
 - **Milestones and status updates are reported to FEMA if project is funded**
- If the project is not recommended for initial funding, it may be funded with reallocation funds

Helpful Hints – Threat Profile

How does the project assist your agency in preventing/protecting against/mitigating/responding to/recovering from all hazards events and threats as it relates to the terrorism threat you selected?

- More **DETAIL** as to what you are requesting and desired accomplishments
- More emphasis on **HOW** the project will address the terrorism threat

Helpful Hints – Factors Affecting Allowability of Costs

Allowable

- AEL
- Authorized by law or regulation

Allocable

- Falls into POETE
- CFRs
- Within scope of the grant

Reasonable

- Does not exceed what a prudent person would incur in the circumstance

Necessary

- A cost that is required for proper and efficient performance of the grant

Helpful Hints - Equipment

- Include AEL numbers and be detailed with your descriptions
 - Do not leave your application up to inference/assumptions
- Do not use brand names/model numbers
 - Ex: Motorola APX 7500
- Contact Michael Stidham for equipment/AEL related questions
 - By phone: (602) 542-7041
 - By email: mstidham@azdohs.gov
- If EHP B, build the review and approval time into your milestones

Funding Parameters/Guidelines for Radio Communications Equipment

Radios must:

- Comply with SAFECOM Guidance
- Support SCIP Initiatives
- Be P-25 Capable
- Be Narrowband compliant
- Have a minimum of 48 channels
- Be programmed with the Arizona State Interoperable Priority Programming Guide
- Comply with the LMR Minimum Radio Standards
- For additional information contact the SWIC, Jeremy Knoll at (602) 223-2106 or SWIC@azdps.gov

Supplemental Radio/Interoperability Form

- For radio/communications-specific projects only (06CP AEL)
- Must answer detailed questions regarding your radio system
- Upload within application

Helpful Hints – Prohibited Equipment

- Requests for the following types of equipment will be disallowed:
 - Drones
 - Weapons
 - Ammunition
 - General use software

Helpful Hints - Training

- Each training event must be listed separately and must include the training name and description
 - Note: Conferences are considered training
- Travel reimbursement is limited to the State rate
(<https://gao.az.gov/sites/default/files/5095%20Reimbursement%20Rates%20%20171101.pdf>)
- **Only include those training events/conferences that you plan to attend during the period of performance**

Helpful Hints – Planning/Organization/M&A

- Planning/Organization/M&A
 - Each activity must be listed individually
 - Be clear with your needs
 - If requested, M&A is calculated as part of the total project cost and cannot exceed 5% of the total project cost

Helpful Hints – Partial Funding

- Partial Funding
 - Many RACs partially fund projects due to the limited funding available
 - Require detailed information of the funding priorities
 - Total amount of partial funding that is acceptable to make meaningful progress
 - Emphasis on **quantities** (if equipment)
 - Emphasis on **costs of items**
 - If you say no to partial funding, your project may not be recommended for funding in any capacity

Final Considerations

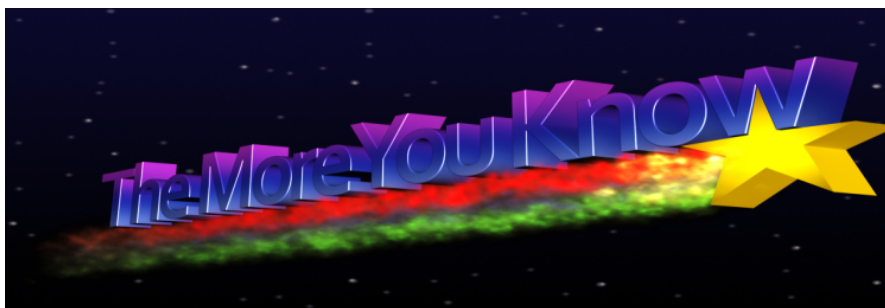
- Must demonstrate nexus to terrorism
- Tell the story of your project -applications stand alone
- Be as detailed as you can without naming brand names in your equipment descriptions

Final Considerations

- Scoring Rubric
 - 2 CFR 200.204 Review of Merit of Proposals
- 12 month Performance Period
 - Longer term projects are accepted
- If you have questions, ASK YOUR PLANNER

References

- **Applicants are responsible for reading and understanding the grant resource material**
- <https://www.fema.gov/preparedness-non-disaster-grants>
 - Includes links to:
 - Authorized Equipment List (AEL)
 - Information Bulletins
 - NOFOs (i.e. federal grant guidance)
- <http://azdohs.gov/grants>
 - Includes links to:
 - Regional Grant Funding Guidelines
 - SPR
 - Grant Information Seminar PPTs
 - Radio Questionnaire
 - SAFECOM Guidance



POP QUIZ

- What must your project describe?
 - A nexus to terrorism
- Name one of the four Factors Affecting Allowability of Costs that we check against.
 - Allowable, Allocable, Reasonable and Necessary
- Can your application be ambiguous?
 - No! Ensure it is detailed enough so that the application can stand on its own.
- When are applications due?
 - Friday, February 16, 2018 by 5:00 pm

Next Steps

- Register for online application account (if you don't already have one)
- Draft and submit applications by Friday, February 16, 2018 at 5:00 pm
- Be prepared to answer any questions that come out of the various reviews conducted
- Await notification of award (email sent in mid- to late-September)

Feedback?

- Continuous Improvement
- Stakeholders meeting
- Let us know of ways we can improve our processes
- End result is being better able to serve you as our stakeholders

Questions?

North and West Regions/State Agencies:

Susan Dzbanko, Assistant Director

602-542-1777 or sdzbanko@azdohs.gov

Central, East, and South Regions/Phoenix UASI:

Nicole Munson, Strategic Planner

602-542-7014 or nmunson@azdohs.gov

South Region/Operation Stonegarden

William Seltzer, Strategic Planner

602-542-7044 or wseltzer@azdohs.gov